#### A Guide to the UNFCCC Institutions

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This briefing paper is comprised of two parts. The first part addresses the existing institutional arrangement under both the UN Framework Convention on Climate Change (the "Convention") and the Kyoto Protocol. It includes simplified organisation charts. The second part addresses possible future institutions, as proposed in the current negotiation rounds. For more detailed information on the institutions and their make-up, please refer to the table in Annex I. For more detailed information on the proposed institutions, pleas refer to the table in Annex II.

#### **PART I: EXISTING INSTITUTIONS**

### <u>Introduction</u>

1. This Part addresses existing institutions, including i) those contained the Convention and the Protocol; ii) those subsequently set up by the Conference of the Parties ("COP") or the Conference of the Parties serving as the Meeting of the Parties of the Protocol ("CMP"); iii) other entities which are not formally within the institutional structure of the Convention framework.

### **Existing institutions/bodies under the Convention**

Institutions/bodies in the Convention

- 2. The COP¹ is the supreme governing body of the Convention and takes place every year. All State Parties have a seat. Its role is to oversee the effective implementation of the Convention and to provide a forum for discussion and exchange for the Parties. This includes monitoring scientific and technical developments, exchange of information on national implementation, the review of implementation reports and cooperation with other institutions. It has the power to make recommendations and to take decisions. The Convention also allows for observers to attend the COP, such as other international organisations, non-State Parties and NGOs.²
- 3. The COP is assisted by the **Bureau**, which is comprised of the President, Vice-Presidents, a Rapporteur and the Chairs of the Subsidiary Bodies, chosen from representatives of Parties.
- 4. The **Secretariat**<sup>3</sup> is the main standing institution of the Convention, which operates all year round. Its purpose is to **oversee administrative and logistical issues**, organise the COP and any other meetings under the Convention, facilitate the exchange of any relevant information, and liaise with other secretariats and institutions. The Secretariat is staffed by professional staff and led by the Executive Secretary.

Article 7(

<sup>&</sup>lt;sup>1</sup> Article 7 of the Convention.

<sup>&</sup>lt;sup>2</sup> Article 7(6).

5. The **Subsidiary Body for Implementation** ("SBI")<sup>4</sup> has as its main function to **assist the COP in its monitoring of implementation**, by analysing and compiling information provided by the Parties on their national emissions and measures taken to implement their respective obligations under the Convention. Government representatives participating in the SBI are to be experts on climate change matters.

6. The **Subsidiary Body for Scientific and Technological Advice** ("SBSTA")<sup>5</sup> assists the COP on **scientific and technological developments**. It is a multidisciplinary body with expert government representatives. It prepares assessments on, for example, the state of scientific knowledge, innovative technologies and on questions from other Convention bodies.

### Institutions created by the COP

- 7. Under the Convention, the COP has the power to establish subsidiary bodies it decides necessary for the implementation of the Convention. This means that there is scope for the COP to establish new institutions in the future.
- 8. In addition, the COP has so far created three Expert Groups to assist its work: the Consultative Group of Experts on National Communications from Non-Annex I Parties, the Expert Group on Technology Transfer<sup>7</sup> and the Least Developed Countries Expert Group. Their function is to advise the COP on their respective very specific topics, and they are not permanent like the Subsidiary Bodies. The Expert Groups are composed of State Party representatives with relevant expertise.

### **Existing institutions/bodies under the Kyoto Protocol**

*Institutions/bodies in the Protocol* 

- 9. The COP serves as the **CMP** for the Protocol.<sup>8</sup> It performs the same functions as under the Convention, e.g. assessing implementation, information exchange etc.
- 10. The **Secretariat**<sup>9</sup> set up by the Convention is also exercising the same functions under the Protocol. The same Subsidiary bodies, namely the **SBI** and **SBSTA**<sup>10</sup>, assist the CMP in a similar way as they do the COP.

## Institutions created by the CMP

- 11. The CMP has set up the **Compliance Committee** to monitor compliance with obligations under the Protocol. The work of the Committee is twofold, divided between a Facilitative Branch, aimed at helping Parties comply with their obligations, and an Enforcement Branch, which is in charge of setting consequences for non-compliance. The members of the Committee are State Party representatives.
- 12. In relation to the flexible mechanisms, the **Clean Development Mechanism Executive Board** and the **Joint Implementation Supervisory Committee** oversee the operation of the Clean Development Mechanism and of Joint Implementation<sup>11</sup>.

<sup>5</sup> Article 9.

<sup>&</sup>lt;sup>4</sup> Article 10.

<sup>&</sup>lt;sup>6</sup> Article 7(2)(i)-(k).

<sup>&</sup>lt;sup>7</sup> The mandate of the Expert Group on Technology Transferred was terminated by Decision 1/CP.16, para 124. Its work is to be taken on by the newly created technology institutions – see paragraph 18 of this briefing paper.

<sup>&</sup>lt;sup>8</sup> Article 13 of the Protocol.

<sup>9</sup> Article 14.

<sup>10</sup> Article 15.

13. The **Adaptation Fund Board**<sup>12</sup> administers the Adaptation Fund, set up to finance adaptation projects in developing countries. The Global Environment Facility functions as its secretariat, while the World Bank serves as trustee. It is comprised of members representing Parties.

### **Working Groups**

- 14. There are two Ad Hoc Working Groups within which further negotiations relating to the future of the Convention and the Kyoto Protocol are taking place. In relation to the Convention, the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA) is to negotiate agreement on the following issues: a shared vision including a global emissions reductions goal, enhancing action on mitigation and adaptation, and transfer of technology and financial resources. The Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol (AWG-KP) aims at negotiating a further commitment period beyond 2012 for emissions reductions by Annex I Parties.
- 15. Additionally, the **Ad Hoc Group on the Berlin Mandate** (AGBM) was set up in 1995 by the first COP, to negotiate a new legal instrument with more detailed obligations on emissions reductions. The AGBM finished its work in 1997 with the adoption of the Kyoto Protocol.

### **Other entities**

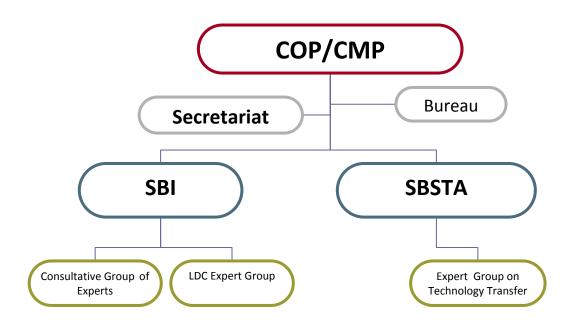
16. Expert review teams are in charge of checking annual inventories of emissions submitted by the parties under the Protocol to ensure accuracy. The experts are selected from a roster on the basis of their expertise.

<sup>&</sup>lt;sup>11</sup> Articles 12 and 6.

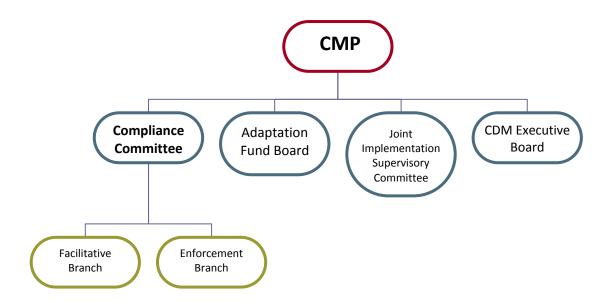
<sup>12</sup> Decision 1/CMP.3.

# **Organisation Charts**

# 1. Joint bodies (Convention & Protocol)



# 2. Protocol bodies



### PART II: PROPOSED INSTITUTIONS

#### Introduction

17. The ongoing negotiations on the future of the climate change regime also cover issues related to institutional arrangements. The Cancún Agreements similarly address several institutional issues, including proposals for new institutions under the Convention. Many of the details, such as the relationship between these new institutions and the existing institutions, remain to be determined in future sessions.

### New institutions proposed by the Cancún Agreements

- 18. An **Adaptation Committee** is to be established to "promote the implementation of enhanced action on adaptation in a coherent manner". The precise modalities and procedures are to be further negotiated during the next COP. 14
- 19. A **Green Climate Fund Board**<sup>15</sup> will oversee the new Green Climate Fund, which will operate under the guidance and accountability of the COP. The Board will have representation from developed and developing countries and will have its own secretariat. The details of the Fund are to be examined by a **Transitional Committee** and are to be further elaborated in the next COP. The Transitional Committee was set to have its first meeting in March 2011, but this meeting was postponed. As at 8 April 2011, no future date has been agreed. Additionally, the new **Standing Committee on Finance**<sup>16</sup> will under the authority of the COP focus on coherence and coordination of the different funds. Again, further details are still to be negotiated.
- 20. Within the new Technology Mechanism both a **Technology Executive Committee** ("TEC")<sup>17</sup> and a **Climate Technology Centre and Network** ("CTCN") are to be established. The Technology Mechanism aims at speeding up the development and transfer of technology. The TEC will consist of experts from developing and developed countries. In line with the creation of this new institution, the Expert Group on Technology Transfer is abolished. The Work Programme adopted by the COP aims at operationalising the Mechanism by 2012. Still to be discussed are modalities for the CTCN and issues relating to funding and the division of work between the TEC and the CTCN.<sup>18</sup> There was also no agreement reached on intellectual property.

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<sup>&</sup>lt;sup>13</sup> Decision 1/CP.16, paragraph 20.

<sup>&</sup>lt;sup>14</sup> Parties are requested to submit their "views on the composition of, and modalities and procedures for, the Adaptation Committee, including on proposed linkages with other relevant institutional arrangements".

<sup>&</sup>lt;sup>15</sup> Decision 1/CP.16, paragraphs 102-103. See also paragraph 109,

<sup>&</sup>lt;sup>16</sup> Paragraph 112.

 $<sup>^{\</sup>rm 17}$  See paragraphs 117-129 and Annex IV.

<sup>&</sup>lt;sup>18</sup> See paragraph 128(a).

# **Annex I Table of Institutions**

Institution	Legal basis	Who	What	Why	When	Where	How
UNFCCC							
Conference of the Parties (COP)	Article 7 UNFCCC, Article 13 KP.	All State Parties.	- Oversee the effective implementation - Forum for discussion and exchange.	Supreme governing body of the Convention and the Protocol, need for permanent mechanism.	Once a year.	Bonn, unless a Party offers to host the session.	- Parties are organised into the five UN regional groups <sup>19</sup> for election purposes - Decisions are generally made by consensus <sup>20</sup> .
COP Bureau	Rule 22.1 of the draft Rules of Procedure.	President 7 Vice-Presidents Chairs of SBI and SBSTA Rapporteur.	Advising the President, deciding on management issues, questions of process.	Assisting management of the COP.	Alongside the COP/subsidiary body sessions, additional meetings between sessions if necessary, about once a month	Location of the COP.	- Led by President, elected by rotation among regional groups (for 1 year) - Each regional group has two members, ASOSIS <sup>21</sup> has one member.
Secretariat	Article 8 UNFCCC, Article 14 KP.	Professional staff.	Assist COP and other bodies with its services, organise COP and other meetings, compile reports, assist in communication, coordination with other secretariats.	Need for standing administrative assistance to facilitate other institutional and implementation procedures.	All year round.	Bonn, Germany.	Led by Executive Secretary.
Subsidiary Body for Implementation (SBI)	Article 10 UNFCCC, Article 15 KP.	Representatives of Parties, experts on climate change matters.	Advise the COP, assess total effect of national measures by examining national information provided by Parties,	Need to monitor implementation, assess effectiveness of the Convention.	At least twice a year, in parallel with SBSTA.	Bonn or COP location.	Bureau of Chair, Vice-Chair and Rapporteur, elected by the SBI.

African States, Asian States, Eastern European States, Latin American and the Caribbean States, and the Western European and Other States
 Note that the Draft rules of procedure have not been formally adopted.
 Alliance of Small Island Developing States

Institution	Legal basis	Who	What	Why	When	Where	How
			advise on financial assistance.				
Subsidiary Body for Scientific and Technological Advice (SBSTA)	Article 9 UNFCCC, Article 15 KP.	Representatives of Parties, being competent in the relevant field, multidisciplinary.	Advise the COP, assess state of scientific and technological knowledge, scientifically assess implementation measures, advise on innovative technology and capacity building.	Need to keep up to date on scientific and technological developments.	At least twice a year, in parallel with SBI.	Bonn or COP location.	Bureau of Chair, Vice-Chair and Rapporteur, elected by the SBSTA.
Consultative Group of Experts on National Communications from Non-Annex I Parties	Decision 8/CP.5, Decision 5/CP.15 (Constituted body of the COP).	Experts with competence in greenhouse gas inventories, vulnerability assessment and adaptation, abatement Issues, preparation of national communications.	Examine national communications; exchange best practice; technical advice and support; facilitate and support preparation of communications.	Need to improve national communications.	Twice a year, before the meeting of the subsidiary bodies.	Varies.	- 5 experts from Africa, Asia and Latin America and the Caribbean each - 6 experts from Annex I Parties - Up to three experts from organisations with relevant experience.
Expert Group on Technology Transfer (mandate now terminated)	Marrakesh Accords (Constituted body of the COP).	Experts, expertise in greenhouse gas mitigation and adaptation technologies, technology assessments, information technology, resource economics, or social development.	Analyse and identify ways to facilitate and advance technology transfer activities.	Assist in fulfilling the Convention obligations on technology transfer.	At least twice a year, in conjunction with the subsidiary body meetings.	Bonn, Germany.	- Chairman and Vice- Chairman, one from Annex I and one from non-Annex I Party.
Least Developed Countries Expert	Marrakesh Accords (Constituted body	12 Experts.	Advice to LDCs on preparation and	Assist the production of	Twice a year.	Varies.	- 5 experts from African LDC Parties, 2 from Asian

Institution	Legal basis	Who	What	Why	When	Where	How
Group	of the COP).		implementation of national adaptation programmes of action.	adaptation programmes of action.			LDC Parties, 2 from small island LDC Parties, 3 from Annex II Parties.
KP							
Conference of the Parties serving as the Meeting of the Parties (CMP)	Article 13 KP.	See above.	-	-	-	-	-
Secretariat	Article 14 KP.	See above.	-	-	-	-	-
SBI	Article 15 KP.	See above.	-	-	-	-	-
SBSTA	Article 15 KP.	See above.	-	-	-	-	-
Compliance Committee	Article 18 KP and Decision 27/CMP.1.	Plenary of both Branches and a Bureau.	Asses compliance, assist Parties in complying with their obligations, take steps against non- compliance.	Monitor compliance of Parties with obligations.	At least once a year.	Bonn, Germany.	- Plenary of both branches - Bureau: chairperson and vice-chairperson of each branch - Decisions by ¾ majority.
- Facilitative Branch	Article 18 KP and Decision 27/CMP.1.	10 members representatives of KP Parties.	Helping Parties comply with obligations.	Facilitate better compliance through assistance.	At least once a year.	Bonn, Germany.	- 1 representative for each official region, 1 SIDS representative, 2 Annex I and 2 non-Annex I (1 year) - Decisions by ¾ majority.
- Enforcement Branch	Article 18 KP and Decision 27/CMP.1.	10 members representatives of KP Parties.	Taking measures against non-compliance.	Setting consequences for non-compliance.	At least once a year.	Bonn, Germany.	- 1 representative for each official region, 1 SIDS representative, 2 Annex I and 2 non-Annex I (1 year) - Decisions require double majority of both Annex I and non-Annex I Parties.
Clean Development Mechanism (CDM) Executive Board	Decision 17/CP.7.	10 members, representatives of KP Parties with expertise.	Oversees operation of CDM, day-to-day management.	Manage CDM.	At least three times a year.	Bonn, location of COP/Subsidiary Body meetings.	- 1 representative for each official region, 1 SIDS representative, 2 Annex I and 2 non-Annex I Chairman and Vice- Chairman, one from Annex

Institution	Legal basis	Who	What	Why	When	Where	How
							I and one from non-Annex I Party - Decisions by consensus or ¾ majority voting.
Joint Implementation (JI) Supervisory Committee	Decision 9/CMP.1.	10 members, representatives of KP Parties with expertise.	Oversees operation of JI and verification of ERUs generated by JI.	Manage JI.	At least two times a year.	Bonn, location of Subsidiary Body meetings.	- 3 members from Annex I Parties transitioning to a market economy, 3 from other Annex I Parties, 3 from non-Annex I Parties, one from a SID Party - Decision by consensus or 34 majority.
Adaptation Fund Board	Decision 1/CMP.3.	16 members, representatives of KP Parties.	Administers Adaptation Fund.	Oversee financing adaptation projects in developing countries.	At least two times a year.	Location of COP/ subsidiary body meetings or Bonn, unless otherwise decided.	- 2 representatives of each of the 5 regional groups, 1 representative for SIDS, 1 for LDCs, 2 other Annex I Party representatives, 2 other non-Annex I Party representatives - The Global Environment Facility operates as secretariat -Decision by consensus or 2/3 majority voting.
Expert review teams	Decision 19/CP.8 Review guidelines (FCCC/CP/2002/8).	Experts selected from a roster.	Check annual inventories of emissions submitted by the Parties under the Protocol.	Ensuring accuracy of the information supplied under the Protocol.	In context of annual review process.	Varies.	- Lead reviewers, 1 from Annex I and 1 from non- Annex I Party - Experts nominated by Parties, may include experts of relevant IGOs – roster is maintained.
Negotiations							
Ad Hoc Working Group on Long-term Cooperative Action under the	Decision 1/CP.13 (Bali Action Plan).	Parties.	"comprehensive process to enable the full, effective and sustained	Part of the Bali Roadmap, ensuring effectiveness of	Multiple times a year, at least 4.	Varies, often Bonn or COP location.	Chair and Vice-Chair - 1 from Annex I Party 1 from non-Annex I Party, alternating

Institution	Legal basis	Who	What	Why	When	Where	How
Convention			implementation of the Convention through long-term cooperative action".	the Convention.			annually.
Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol	Decision 1/CMP.1.	Parties.	Negotiate further commitments for Annex I Parties beyond 2012.	Ensure no gap between first and second commitment period.	Multiple times a year, at least 4.	Varies, often Bonn or COP location.	

# **Annex II Table of Proposed Institutions**

Institution	Legal basis	Who	What	Why	How	Institutional
						Linkages
Adaptation	Paragraph 20 of 1/CP.16	Parties were invited to	To promote the	Need to strengthen,	Reporting: The majority	The AWG-LCA are to
Committee	establishes an	submit their views on	implementation of	enhance and better	of Parties noted that the	define the AC's linkages
	Adaptation Committee	the composition of,	enhanced action on	utilize existing	AC should operate under	with other relevant
	("AC").	and modalities and	adaptation in a coherent	institutional	the authority and	institutional
		procedures for, the AC	manner under the	arrangements and	guidance of, and be fully	arrangements under
		including proposed	Convention through the	expertise under the	accountable to, the COP,	and outside the
		linkages with other	functions listed in	Convention.	as it was established to	Convention, including at
		relevant institutional	paragraph 20 (a-e).		promote coherence in	the national and
		arrangements by 21			the implementation of	regional levels (see
		February 11 <sup>22</sup> .			enhanced action on	discussion of options
		These views were to			adaptation across the	below).
		be published in misc			Convention process, and	Several Parties have
		document and a			its agreed functions span	requested that the AC
		synthesis document by			those of both the SBI and	should not have an
		AWG-LCA 14, and a			SBSTA.	operational role in
		decision be adopted at			While the majority of	relation to the Green
		COP-17. These			Parties view the AC as a	Climate Fund or any
		documents were			constituted body under	operating entity of the
		published by the			the Convention, a few	financial mechanism, or
		UNFCCC in March			Parties view it as a	funding activities but
		2011 <sup>23</sup> . In the synthesis			subsidiary body pursuant	should only support the
		document all Parties			to Art 7 para 2(i) of the	COP in its work.
		call for a fair, equitable,			Convention. As a	Others have suggested
		representative			subsidiary body its	that the AC should
		membership consistent			membership should be	provide guidance to
		with other Conventions			open to all Parties and its	financial and
		and mechanisms.			meetings should be held	governance structures
		Proposals of number of			in plenary sessions.	created under the
		experts range from 11-			Parties differ in their	Green Climate Fund and
		60, elected to the			views on which body the	the Adaptation Fund
		Committee by the COP.			AC should regularly	with a view to ensuring
		, , , , , , , , , , , , , , , , , , ,			report to. While many	coherence on

<sup>&</sup>lt;sup>22</sup> Article 22 1/CP.16
<sup>23</sup> http://unfccc.int/documentation/documents/advanced\_search/items/3594.php?rec=j&priref=600006171&suchen=n

Institution	Legal basis	Who	What	Why	How	Institutional
						Linkages
					Parties support reporting directly to the COP on an annual basis, others prefer periodical reporting through the subsidiary bodies to the COP or reporting to the SBI.	modalities and means for addressing adaptation issues. The majority of Parties emphasised that the AC should work closely with, and establish strong and effective links with, bodies and programmes set up under the Convention. Proposal on how the AC could work with each of these bodies are contained in the synthesis report (FCCC/AWGLCA/2011/3, part IV).
Transitional Committee	Paragraphs 109, 111 of 1/CP.16 and Terms of References in Appendix III.	Selection of members: COP decided to invite chairs of the Regional Groups and Co- ordinators of the constituencies represented on the Committee to forward their nominations, the nominees will then be automatically deemed to have been elected as confirmed as members by COP 16. Nomination of members was due by 31 January 2011. However some of the regional groups are still completing their	Specific Terms of Reference: (a) legal and institutional arrangements for GCF (b) rules of procedure for GCF and governance issues related to the Board (c) methods to mange financial resources (d) financial instruments which the GCF can use (e) methods to co- ordinated between the GCF and other funding mechanisms (f) role of the GCF secretariat and procedure for setting up secretariat	Design of the Green Climate Fund.	Administered by: Executive Secretary of the secretariat, in consultation with the President of the COP, will convene the initial meeting. Staff to support the work of the Transitional Committee will be seconded from: (i)UN agencies, (ii) - international financial institutions, (iii) multilateral development banks, (iv) secretariat and (v) GEF. The secondment of staff will be arranged by the secretariat, in	The COP has requested that the Transitional Committee should encourage input from all Parties and from relevant international organizations and observers and take into account the findings contained in relevant reports. (relevant is not defined but could refer to reports by other SBs). The work of the Transitional Committee was referenced in an information note about the Expert workshop on the Technology Mechanism (1/CP.16

Institution	Legal basis	Who	What	Why	How	Institutional
						Linkages
		nominations for the	(g) mechanisms for		consultation with the	para 129) . This
		Transitional	independent evaluation		President of the COP.	Information Note
		Committee.	of GCF performance			mentions that in
		As of 6 April	(h) mechanisms to ensure		Reporting: The terms of	relation to the links
		nominations from Asia	financial accountability		reference for the design	between the financial
		and from the Group of	and performance to		of the Green Climate	mechanism and the
		Latin America and the	ensure application of		Fund state that the	technology mechanism
		Caribbean had not	environmental and social		Transitional Committee	Parties may benefit
		been received.	safeguards		shall make	from awaiting for
		Nominations from all	(i) mechanisms to ensure		recommendations to the	further
		other regional groups	appropriate expert and		COP.	progress to be made by
		have been received.	technical advice		It would therefore seem	the Transitional
		The first meeting of the	(j) mechanisms to ensure		logical that it is reporting	Committee on the
		Transitional Committee	stakeholder input and		to the COP.	design of a Green
		due to be held 14 and	participation			Climate Fund.
		15 March 2011 has			Proposed structure and	
		been postponed to the	The terms of reference		composition:	
		end of April 2011.	for the design of the		- 40 members	
			Green Climate Fund		- 15 members from	
			provide that the		developed country	
			Transitional Committee		Parties	
			shall develop and		- 25 members from	
			recommend for the		developing country	
			approval of COP 17 a		Parties: (a) 7 from Africa,	
			number of operational		(b) 7 from Asia, (c) 7	
			documents for the Green		from Latin America and	
			Climate Fund.		Caribbean, (d) 2 from	
0 01 1 5 1	D	Calaatian af manula	The Decoding of the St.	Manager at a fall	SIDs, (e) 3 from LDCs.	Data at all the base
Green Climate Fund	Paragraph 102 1/CP.16	Selection of members:	The Board is responsible	Management of the	Adminstered by: The GCF	Potentially it has
Board / Green Climate	establishes the Green	This will be determined	for the GCF.	Fund.	will have a trustee. The	linkages to many
Fund	Climate Fund ("GCF").	by the Transitional	The GCF will "support		trustee for the GCF shall	different institutions
	Paragraph 103 establishes the Board of	Committee.	projects, programmes,		have administrative	within the UNFCCC
	the GCF.		policies and other		competence to manage the financial assets,	regime as it is
	ule GCF.		activities in developing country Parties using		maintain financial	anticipated that it will have "thematic funding
			thematic funding			windows". As yet there
			windows".		records and prepare financial statements and	is no information on
			The Board is responsible		other reports required by	what these windows

Institution	Legal basis	Who	What	Why	How	Institutional
						Linkages
			for the performance of		the Board of the GCF .	will be (mitigation,
			the trustee and shall take		The World Bank is the	adaptation, capacity
			decisions on how the		interim trustee for the	building etc) this will
			trustee shall administer		GCF, this will be reviewed	be decided by the
			the assets of the GCF.		three years after the	Transitional Committee.
			The functions of the		operationalization of the	The Transitional
			Board will be determined		Fund.	Committee has been
			by the Transitional		Operation of the Fund	tasked with designing
			Committee.		shall be supported by an	mechanisms for the GCF
					independent secretariat.	to ensure the provisions
						of appropriate expert
					Reporting: The GCF is	and technical advice,
					established as an	including from relevant
					operating entity of the	thematic bodies
					financial mechanism	established under the
					under Article 11 of the	Convention. (relevant
					UNFCCC and as such	thematic bodies is not
					shall function under the	defined but could refer
					guidance of and be	to other SBs:
					accountable to the COP.	Adaptation Committee,
					Although it is not	Technology Committee
					expressly stated it would	etc)
					seem logical that the	The Transitional
					Board, as the governing	Committee has also
					body of the GCF, will be	been asked to design
					responsible to the COP	mechanisms to ensure
					and the COP.	stakeholder input and
					Arrangements will be	participation. Again we
					made between the COP	do not have any details
					and the GCF to ensure	on what this will include
					that it is accountable to	as yet but could
					the COP.	conceivably include
						participation by other
					Proposed structure and	SBs and Committees .
					composition: The Board	
					will have 24 members,	
					comprising an equal	
					number from developing	

Institution	Legal basis	Who	What	Why	How	Institutional Linkages
					and developed country Parties. Developing country representatives should be from the relevant UN regional groupings and from SIDs and LDCs. There will also be a system of alternate members who will be entitled to participate in the meetings of the Board only through the principal member, without the right to vote, unless they are serving as the member; where the principal member is absent from all or part of a meeting.	
Standing Committee	Paragraph 112 1/CP.16.	Parties to agree to further define the roles and functions of this Standing Committee.	Improving coherence and coordination in the delivery of climate change financing, rationalisation of the financial mechanisms, mobilisation of financial resources and measurement, reporting and verification of support provided to developing country Parties.	To assist the COP in exercising its functions with respect to the financial mechanism.	Still to be determined.	As the body tasked with co-ordination of financial mechanisms it will inevitably have cross-over with other institutions, in particular the Adaptation Committee. When the Adaptation Committee provides recommendations to the COP on implementation there may be information from the Standing Committee which needs to be taken into

Institution	Legal basis	Who	What	Why	How	Institutional
						Linkages
						account.
Technology Executive Committee ("TEC")	Paragraph 117(a) of Decision 1/CP.16, and Appendix IV of that Decision.	Expert members  Selection of Members: The COP shall elect the expert members.	Analysing technological needs, issues and policy, making recommendations on transfer of technology, facilitating collaboration between the public and private sectors, addressing barriers to transfer of technology, cooperating with all relevant initiatives and stakeholders, and catalysing the development of use of road maps or action plans at all levels.	To facilitate the effective implementation of the Technology Mechanism, which aims at speeding up the development and transfer of technology.	Reporting: The TEC operates under the guidance of the COP and is accountable to the COP. It reports to the COP through the Subsidiary Bodies (on an interim basis).  Proposed structure and composition: - 20 expert members, including - 9 experts from Annex I Parties - 3 experts from each region of non-Annex I Parties (Africa, Asia and the Pacific, Latin America and the Caribbean) - 1 expert from a small island developing State - 1 expert from a least developed country Party. A chair and a vice-chair are elected for one year, one from an Annex I Party and one from a non-Annex I country.	With the creation of the TEC, the Expert Group on Technology Transfer is abolished. The division of work between the TEC and the Climate Technology Centre and Network is still to be determined.
Climate Technology	Paragraph 117(b) of	Still to be determined -	It will facilitate a	To facilitate the	Administered by: The	The modalities,
Centre and Network	Decision 1/CP.16.	the COP adopted a	network of technology	effective	host of the CTCN is still to	governance and terms
("CTCN")		Work Programme	networks, organizations	implementation of the	be determined.	of reference of the
,		which aims at	and initiatives, in order to	Technology		CTCN are still to be
		operationalizing some	assist and support Parties	Mechanism, which	Reporting to: The CTCN	determined.
		of the outstanding	on technology issues, by	aims at speeding up	operates under the	Similarly, the division of work between the TEC
		issues.	providing advice, and information and by	the development and transfer of technology.	guidance of the COP and is accountable to the	and CTCN is still to be
			illioilliation and by	transier of technology.	is accountable to the	and CICIN IS Still to De

Institution	Legal basis	Who	What	Why	How	Institutional Linkages
			enhancing cooperation.		COP. It reports to the COP through the Subsidiary Bodies (on an interim basis).	determined.
					Proposed structure and composition: Still to be determined.	